

1 true-Advice License

All true-Advice components (CSV Output Converter, Reporting, M/L Report, CSV/SWIFT Order Routing, Securities Settlement, FX Settlement, FIX Order Routing, true-Advice with Bloomberg®, MiFIR) are designed for External Asset Managers to automate, simplify, and unify the financial transaction reporting. The comprehensive and easy to use reporting features are in-line with today's and future regulatory requirements. Each component can be added as required at a low yearly cost. true-Advice is a highly efficient, reliable, low cost, and uniform solution for all UBS booking centers.

2 Available Modules

2.1 CSV Output Converter

The CSV Output Converter is intended for Portfolio Management Systems (PMS) without SWIFT capabilities. It automatically converts SWIFT messages and Customer Master Data (CMD) from UBS customers into CSV files (containing ISIN, CIF (UBS Customer No.), trade details, buy/sell price, opening/closing balance, currency, etc.). The CSV files are directly uploaded into the Asset Manager's PMS (individual format, Excel, etc.).

2.2 Reporting

true-Advice Reporting is an automated and comprehensive reporting tool intended for Portfolio Management Systems (PMS) without SWIFT capabilities. It converts SWIFT messages and Customer Master Data (CMD) from UBS customers into comprehensive and highly customizable Excel reports (Containing ISIN, CIF (UBS Customer No.), trade details, buy/sell price, opening/closing balance, currency, etc.) — no manual compilation and consolidation required.

2.3 M/L Reporting

true-Advice M/L Report is an automated and comprehensive margin lending reporting tool for Portfolio Management Systems (PMS) without SWIFT capabilities. It automatically converts SWIFT messages and Customer Master Data (CMD) from UBS customers into comprehensive Excel M/L reports (containing position summaries like market value, collateral value, liabilities value and listing the ratios such as loan to market value, loan to collateral value, etc.) — no manual compilation and consolidation required. This offer is currently limited to customers in APAC.



2.4 CSV/SWIFT Order Routing

The CSV/SWIFT Order Routing is intended for EAMs to automatically exchange with UBS in real-time security transaction reports/market activities (as SWIFT messages) of their customers. In combination with true-Advice Reporting, this process enables the monitoring of open orders. This eliminates for orders the manual data input, data compilation, and transmission.

2.5 Securities Settlement

true-Advice Securities Settlement converts core data of a stock exchange order into SWIFT messages, enriches them with the customizable standard settlement instructions (SSI – A broker’s standard custody data) and sends the settlement instruction in real time to UBS, where the transaction is fully automatically processed and booked. This eliminates the manual data compilation and transmission for the External Asset Manager (EAM).

2.6 FX Settlement

true-Advice FX Settlement converts core data of a foreign exchange order into SWIFT messages, enriches them if needed and sends the FX settlement instruction in real time to UBS, where the transaction is fully automatically processed and booked. This eliminates the manual data compilation and transmission for the External Asset Manager (EAM).

2.7 FIX Order Routing

The FIX Order Routing is intended for Portfolio Management Systems (PMS) using FIX protocols (Financial Information eXchange) for their security transactions. The FIX Order Routing automatically converts FIX protocols into SWIFT messages and back. The FIX Order Routing enables the EAM to directly place security transactions / orders from his PMS with CS. The automated process reflects data in real-time and eliminates for orders the manual data input and compilation.

2.8 true-Advice with Bloomberg®

true-Advice with Bloomberg® can automatically deliver data to the Bloomberg Terminal®. This is intended for External Asset Managers (EAM) who want to use the advanced risk, performance, and graphical analytics available through Bloomberg’s PORT <GO> functionality. The Customer Master Data (CMD) from UBS customers (through CSV files) and securities transaction reports/market activities (through SWIFT messages) are automatically uploaded to PORT <GO> on the Bloomberg Terminal® (subscription required).



2.9 MiFIR

true-Advice MiFIR is intended for External Asset Managers (EAM) domiciled in the European Economic Area (EEA) that are subject to the MiFID/MiFIR regulation. true-Advice MiFIR automatically compiles securities transaction data from UBS customers into daily reports including all 65 MiFID/MiFIR required fields (ISIN, execution venue, executer, decision maker, etc) and sends it to UnaVista® (contract required) for regulatory reporting. The automated process removes manual data input and compilation and eliminates the risk of false data reporting.

3 Pricing

Prices are per installation (user license) per year for each component. Some components can only be licensed in combination.

For further information, please contact SITS or your UBS relationship manager.

4 Order confirmation

4.1 General terms and conditions

The current version of the SITS general terms and conditions applies. [GTC Swiss IT Security AG](#) valid from 01.05.2024

4.2 Modules

Please check the modules you require:

	Article No.	Module	Description	Prerequisites	Price [CHF p.a.]
<input type="checkbox"/>	KY.07.05.01	TA-BAS	true-Advice Base Module	-	800.-
<input type="checkbox"/>	KY.07.05.02	TA-CSV	+ CSV Output Converter	TA-BAS	200.-
<input type="checkbox"/>	KY.07.05.03	TA-REP	+ Reporting	TA-BAS, TA-CSV	300.-
<input type="checkbox"/>	KY.07.05.06	TA-MLR	+ M/L Report	TA-BAS, TA-CSV	300.-
<input type="checkbox"/>	KY.07.05.10	TA-CSV	+ CSV/SWIFT Order Routing	TA-BAS	450.-
<input type="checkbox"/>	KY.07.05.09	TA-SES	+ Securities Settlement	TA-BAS	700.-
<input type="checkbox"/>	KY.07.05.11	TA-FXS	+ FX Settlement	TA-BAS	700.-
<input type="checkbox"/>	KY.07.05.12	TA-FOR	+ FIX Order Routing	TA-BAS	300.-
<input type="checkbox"/>	KY.07.05.07	TA-BLO	+ true-Advice w/ Bloomberg®	TA-BAS, TA-CSV	400.-
<input type="checkbox"/>	KY.07.05.08	TA-MIF	+ MiFIR Transaction	TA-BAS, TA-CSV	400.-

4.3 Support Plan

The purchase of the modules listed above includes Basic Support.

Requests are processed during regular business hours from Monday to Friday from 07:30 to 17:30). The following table lists the response times of the different priorities.

Priority	P1	P2	P3	P4
Reaction time	4 hours	8 hours	16 hours	40 hours

We are happy to offer extended support on request.



4.4 Billing Information

Title _____

First Name / Surname _____

Company _____

Street / No _____

ZIP Code / City _____

Country _____

Phone _____

Email Address _____

4.5 Signature

By signing the order confirmation, the customer commissions SITS to provide the services described in this offer under the stated conditions.

Place, Date _____

Customer Signature _____

Name, Function _____

Customer Signature _____

Name, Function _____

Please send the completed and signed order form by email to admin@sits.ch